# Client Risk Assessment Form

Dear Investor,

To help us serve you better and ensure that your mutual fund investments align with your financial goals and risk appetite, we request you to kindly fill out this short risk profiling questionnaire.

This form will help us assess your:
• Investment goals
• Risk tolerance
• Time horizon

## Personal Details

Full Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

 City: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Father’s Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Gender: ☐ Male ☐ Female ☐ Transgender

1. What is your age group?

A ☐ Below 30 years

B ☐ 30 – 40 years

C ☐ 41 – 50 years

D ☐ 51 – 60 years

E ☐ Above 60 years

1. What is your current income per month?

A ☐ Below ₹75,000

B ☐ ₹75,000 – ₹1,00,000

C ☐ ₹1,00,000 – ₹2,00,000

D ☐ Above ₹2,00,000

1. How stable is your current income?

A ☐ Very stable (Govt job/pension)

B ☐ Stable (Private sector with >5 years)

C ☐ Moderate (Freelancer/business)

D ☐ Unstable (Seasonal/irregular)

1. What percentage of your income do you invest monthly?

A ☐ More than 40%

B ☐ 25% – 40%

C ☐ 10% – 25%

D ☐ Less than 10%

1. How many dependents do you have?

A ☐ None

B ☐ 1

C ☐ 2

D ☐ 3

E ☐ 4 or more

1. What is your investment time horizon?

A ☐ More than 12 years

B ☐ 8 – 12 years

C ☐ 4 – 7 years

D ☐ 1 – 3 years

1. What is your primary goal for investing?

A ☐ Transgenerational or legacy asset

B ☐ Retirement Planning

C ☐ Wealth Creation

D ☐ Passive Income

E ☐ Short-term Profits

1. How would you describe your knowledge of financial markets?

A ☐ Advanced

B ☐ Good

C ☐ Basic

D ☐ None

1. How would you react if your investment drops 15% in a month?

A ☐ Invest more seeing an opportunity

B ☐ Hold patiently till the goal is achieved

C ☐ Feel concerned, but wait a bit

D ☐ Immediately withdraw to avoid more loss

1. What type of past investments have you made?

A ☐ Direct equity, derivatives, crypto, etc.

B ☐ Mutual funds + some direct stocks

C ☐ Some mutual funds or insurance

D ☐ Only FDs, LIC, gold

1. Which of the following statements best describes your risk appetite?

A ☐ I seek high returns even with higher risks

B ☐ I'm okay with short-term losses for long-term gains

C ☐ I can tolerate small fluctuations

D ☐ I cannot tolerate any capital loss

## Scoring Pattern

For each question, score the selected answer as per the following:
(A) = 1 point, (B) = 2 points, (C) = 3 points, (D) = 4 points, (E) = 5 points (if applicable)

## Risk Profile Based on Total Score

• 11–26 points: Aggressive | Equity, Sectoral, Small cap |

• 27–38 points: Moderate | Hybrid, Balanced Advantage |

• 39–47 points: Conservative | Debt Funds, Liquid Funds |

Total Score: \_\_\_\_\_\_\_

## Declaration by Investor

I confirm that the above information is accurate to the best of my knowledge.

Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

## For Office Use (R Patel Wealth Compliance Section)

RM Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_